

Section 1 - Program Components

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13) Use of this model plan is optional. However, the information requested is required in order to receive a Low Income Home Energy Assistance Program (LIHEAP) grant. Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Section 1 Program Components

Program Components, 2605(a), 2605(b)(1) - Assurance 1, 2605(c)(1)(C)

1.1 Check which components you will operate under the LIHEAP program. (Note: You must provide information for each component designated here as requested elsewhere in this plan.)		Dates of Operation	
		Start Date	End Date
☒	Heating assistance	10/01/2026	05/15/2027
☐	Cooling assistance		
☐	Summer crisis assistance		
☐	Winter crisis assistance		
☒	Year-round crisis assistance	10/01/2026	09/30/2027
☒	Weatherization assistance	07/01/2026	06/30/2027

Provide further explanation for the dates of operation, if necessary

Estimated Funding Allocation, 2604(C), 2605(k)(1), 2605(b)(9), 2605(b)(16) - Assurances 9 and 16

1.2 Estimate what amount of available LIHEAP funds will be used for each component that you will operate: The total of all percentages must add up to 100%.	Percentage (%)	Prior year totals
Heating assistance	61.00%	61.00%
Cooling assistance	0.00%	0.00%
Summer crisis assistance	0.00%	0.00%
Winter crisis assistance	0.00%	0.00%
Year-round crisis assistance	9.00%	9.00%
Weatherization assistance	15.00%	15.00%
Carryover to the following federal fiscal year	5.00%	5.00%
Administrative and planning costs	10.00%	10.00%
Services to reduce home energy needs including needs assessment (Assurance 16)	0.00%	0.00%
Used to develop and implement leveraging activities	0.00%	0.00%
TOTAL	100.00%	100.00%

Tribal grant recipients: direct-grant tribes, tribal organizations, or territories with allotments of \$20,000 or less may use for planning and administration up to 20% of the funds payable. Grant recipients that are direct grant tribes, tribal organizations, or territories with allotments over \$20,000 may use for planning and administration purposes up to 20% of the first \$20,000 (or \$4,000) plus 10% of the funds payable that exceeds \$20,000. Any administrative costs in excess of these limits must be paid from non-federal sources.

Alternate Use of Crisis Assistance Funds, 2605(c)(1)(C)				
1.3 The funds reserved for winter crisis assistance that have not been expended by March 15 will be reprogrammed to:				
☐	Heating assistance	☐	Cooling assistance	
☐	Weatherization assistance	☒	Other (specify): Continue to use for Crisis Assistance.	
Categorical Eligibility, 2605(b)(2)(A) - Assurance 2, 2605(c)(1)(A), 2605(b)(8A) - Assurance 8				
1.4 Do you consider households categorically eligible if at least one household member receives at least one of the following categories of benefits in the left column below? ☒ Yes ☐ No				
If you answered "Yes" to question 1.4, you must complete the table below and answer questions 1.5 and 1.6.				
	Heating	Cooling	Crisis	Weatherization
TANF	☒ Yes ☐ No	☐ Yes ☒ No	☒ Yes ☐ No	☐ Yes ☒ No
SSI	☒ Yes ☐ No	☐ Yes ☒ No	☒ Yes ☐ No	☐ Yes ☒ No
SNAP	☒ Yes ☐ No	☐ Yes ☒ No	☒ Yes ☐ No	☐ Yes ☒ No
Means-tested Veterans Programs	☐ Yes ☒ No	☐ Yes ☒ No	☐ Yes ☒ No	☐ Yes ☒ No
1.4a. Provide your definition of categorical eligibility. Please explain how households are categorically eligible (i.e. do all household members need to receive the benefits or just one member, is there a data exchange in place?) and how categorical eligibility streamlines the LIHEAP application process. Categorical eligibility status allows a household to pass the income test if they are above the 60% SMI level. For a household to be determined as categorically eligible, every household member must be a recipient of W-2/TANF, FoodShare, or Supplemental Security Income (SSI) for the month prior to the date of application. W-2 is considered a categorical eligibility criterion only if there is a "cash benefit."				
1.5 Do you automatically enroll households without a direct annual application? ☒ Yes ☐ No				
If Yes, explain: Households whose income in the previous years are only from Social Security, and/or Veterans Benefits, who have active gas and/or electric accounts with one of the eligible utilities vendors such as investor-owned utilities and selected vendors who work directly with WPPI Energy, and who have the same housing characteristics as the previous year, may be provided with automated WHEAP benefits without being required to submit a new application. The Division applies the Cost of Living Adjustment (COLA) when determining Social Security and/or Veterans Benefits for the upcoming program year. An automated application is only eligible for 2 consecutive years before it needs to be completed in an interactive manner. Each applicant is provided with a notification letter which includes the fair hearing rights as well as the process to use if the information is not accurate.				
1.6 How do you ensure there is no difference in the treatment of categorically eligible households from those not receiving other public assistance when determining eligibility and benefit amounts?				
All households at or below 60% of the state median income are eligible for benefits calculated in the same manner, regardless of whether there is a household member who receives categorical assistance or not. Households entirely composed of persons receiving Supplemental Security Income (SSI), TANF, or Food Stamps (SNAP) in the previous full month from the application date are deemed to be income eligible. The benefit for a categorically eligible household whose income exceeds the guidelines will be calculated using the maximum eligible income level.				
SNAP Nominal Payments				
1.7a Do you allocate LIHEAP funds toward a nominal payment for SNAP households? ☐ Yes ☒ No				
If you answered "Yes" to question 1.7a, you must provide a response to questions 1.7b, 1.7c, and 1.7d.				
1.7b Amount of Nominal Assistance: \$0.00				
1.7c Frequency of Assistance				
☐	Once Per Year			
☐	Once every five years			
☐	Other - Describe:			
1.7d How do you confirm that the household receiving a nominal payment has an energy cost or need?				
Determination of Eligibility - Countable Income				
1.8. In determining a household's income eligibility for LIHEAP, do you use gross income or net income?				
☒	Gross Income			
☐	Net Income			
☐	Other - Describe			

1.9. Select all the applicable forms of countable income used to determine a household's income eligibility for LIHEAP	
☒	Wages
☒	Self - Employment Income
☒	Contract Income
☐	Payments from mortgage or Sales Contracts
☐	Unemployment insurance
☒	Strike Pay
☒	Social Security Administration (SSA) benefits
☐	☐ Including MediCare deduction ☒ Excluding MediCare deduction
☒	Supplemental Security Income (SSI)
☒	Retirement / pension benefits
☐	General Assistance benefits
☒	Temporary Assistance for Needy Families (TANF) benefits
☐	Loans that need to be repaid
☒	Cash gifts
☐	Savings account balance
☐	One-time lump-sum payments, such as rebates/credits, winnings from lotteries, refund deposits, etc.
☐	Jury duty compensation
☒	Rental income
☒	Income from employment through Workforce Investment Act (WIA)
☒	Income from work study programs
☒	Alimony
☒	Child support
☒	Interest, dividends, or royalties
☒	Commissions
☐	Legal settlements
☐	Insurance payments made directly to the insured
☐	Insurance payments made specifically for the repayment of a bill, debt, or estimate
☒	Veterans Administration (VA) benefits
☐	Earned income of a child under the age of 18

☐	Balance of retirement, pension, or annuity accounts where funds cannot be withdrawn without a penalty.
☐	Income tax refunds
☐	Stipends from senior companion programs, such as VISTA
☐	Funds received by household for the care of a foster child
☐	Ameri-Corp Program payments for living allowances, earnings, and in-kind aid
☐	Reimbursements (for mileage, gas, lodging, meals, etc.)
☐	Other
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.	
1.10 Do you have an online application process? ☒ Yes ☐ No	
1.10a If yes, describe the type of online application (Select all boxes that apply)	
☒	A PDF version of the application is available online and can be downloaded, filled out and mailed in for processing.
☒	A state-wide online application that allows a customer to complete data entry and submit an application electronically for processing.
☐	One or more locally available online applications that allows a customer to complete data entry and submit an application electronically for processing.
☒	Online application that is also mobile friendly
☐	Other, please describe
Please include a link(s) to a statewide application, if available:	
https://energybenefit.wi.gov/OnlineApps	
1.10b Can all program components be applied for online? ☒ Yes ☐ No	
If no, explain which components can and cannot be applied for online.	
1.11 Do you have a process for conducting and completing applications by phone? ☒ Yes ☐ No	
1.12 Do you or any of your subrecipients require in person appointments in order to apply? ☐ Yes ☒ No	
If yes, please provide more information regarding why in-person appointments are required and in what circumstances they are required.	
1.13 How can applicants submit documentation for verification? Select all that apply:	
☒	In-person
☒	Mail
☒	Email
☒	Portal application
☒	Other, please describe
Agencies may accept texted photos.	

Hidden for Section 1

Section 2 - HEATING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 2 - Heating Assistance

Eligibility, 2605(b)(2) - Assurance 2

2.1 Designate the income eligibility threshold used for the heating component:

Add	Household size	Eligibility Guideline	Eligibility Threshold
1	All Household Sizes	State Median Income	60.00%

2.2 Do you have additional eligibility requirements for Heating Assistance? ☐ Yes ☒ No

2.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test? ☐ Yes ☒ No

If yes, describe: Do you have additional/differing eligibility policies for:

Renters? ☐ Yes ☒ No

If yes, describe:

Renters Living in subsidized housing? ☐ Yes ☒ No

If yes, describe:

Renters with utilities included in the rent? ☒ Yes ☐ No

If yes, describe:

Renters with Utilities Included in the Rent, please see WHEAP manual section 4.4.16

Landlord and/or management company contact information is required for Weatherization referrals. For all rental situations, agencies shall enter the landlord or management company contact information into the HE+ System. The landlord's or management company's name, address, and phone number are required. Workers shall enter new landlord information or adopt the existing landlord information. If the existing landlord information is different from what was provided by the customer, workers must contact the HE+ Help Desk. If landlord or property management company information is not provided, the application should remain in "Pending" status until the customer provides the required information.

Customers are required to provide verification for the following payment methods and the means of verification must be indicated in Home Energy Plus (HE+) System Notes:

- Rental payment includes energy in the monthly rent.
- Separate payment is made to the landlord, mobile home park owner.
- Do not pay

When verifying that the heat is included in rent or separate payment to landlord, workers shall verify with the landlord or vendor what the primary heating type is for that dwelling and include that verification in notes.

Do you give priority in eligibility to:

Older Adults (60 years or older)? ☒ Yes ☐ No

If yes, describe:

Priority in eligibility to elderly, disabled and households with young children, please see WHEAP manual section. 9.2.3

Outreach

1) Agencies are required to provide outreach services to maximize participation of eligible persons for WHEAP benefits. Outreach activities must target households with disabled persons, elderly persons, children under six years old, and persons working at low-wage jobs (working poor). The "outreach indicator" is a question on the paper and system application. See WHEAP manual section 4.4.4 for more information about the outreach indicator.

2) Agencies are required to prominently display the Home Energy Plus Weatherization/ WHEAP Co-Branding Poster in the agency's main waiting area for WHEAP intake if in-person

intake is available. WHEAP agencies are encouraged to also consider displaying the poster in intake workers' offices, outreach locations, and other appropriate areas. Agencies may choose to develop and display their own WHEAP posters provided they contact the HE+ logo and the county/tribe specific Co-Branding logo.

3) Agencies are encouraged to play the Home Energy Plus Weatherization/WHEAP video(s)* in the agencies' main customer service waiting areas. Agencies shall make the Home Energy Plus video(s) accessible via electronic media such as Facebook, Twitter and/or the agency website, if feasible.

4) Agencies shall reference and provide the link to the Division website WHEAP Page, via electronic media such as Facebook, Twitter, and/or the agency website, if feasible.

5) Agencies are required to ensure that persons with limited English proficiency (LEP) have meaningful and equal access to benefits and services. The agency is required to provide spoken interpretation in addition to translated written publications as some individuals may not read English or other language. The agency must have a mechanism to communicate orally with people with LEP. Providing the Spanish version of the Home Energy Plus (HE+) Application is not fulfilling this policy requirement. If the applicant requires spoken communication and/or explanation in addition to the translated application, agencies shall ensure verbal interpretation is available.

6) Agencies are required to provide services to the disabled and impaired, including but not limited to assisting applicants with the completion of the application form, translation of material, interpretation services for deaf, and reading services for blind.

7) Agencies must establish HE+ application methods that are accessible to targeted households and process submitted Online Applications within a reasonable time.

8) Agencies must comply with Federal Law and provide an alternate intake method separate from a site which administers W-2/TANF. All agencies are compliant due to the implementation of Online Applications.

9) Agencies must provide assistance with the preparation and submittal of applications by persons who are homebound.

10) Agencies must arrange an early application period for persons in targeted groups and high-risk households.

11) Agencies are required to complete a Program Operations and Community Service Plan (POCS) Plan. The Division provided template is available on the HE+ Training & Technical Assistance website under WHEAP>Forms. Each agency is required to review their current Plan and update the date reviewed section on the Plan before the start of each program year. The goal of this plan is to provide agencies with a means to describe how the agency will conduct outreach, how they will identify and enroll eligible households in their communities and explain how the agency will reach targeted households. The POCS Plan should indicate what other community resources/stakeholders play a role in this outreach effort and identify key stakeholders that the agency coordinates efforts/referrals with. In addition, the list should indicate the local agency's contact person and the resource services provided. The plan must be made available to the Division upon request.

12) Agencies may establish interagency agreements with other low-income program offices to perform some of the outreach activities to targeted groups.

*The Home Energy Plus videos are on the Home Energy Plus Training and Technical Website (HE+ TTA) under Administration > HE+ Videos.

Individuals with a disability?

☒ Yes ☐ No

If yes, describe:

Priority in eligibility to elderly, disabled and households with young children, please see WHEAP manual section. 9.2.3

Outreach

1) Agencies are required to provide outreach services to maximize participation of eligible persons for WHEAP benefits. Outreach activities must target households with disabled persons, elderly persons, children under six years old, and persons working at low-wage jobs (working poor). The "outreach indicator" is a question on the paper and system application. See WHEAP manual section 4.4.4 for more information about the outreach indicator.

2) Agencies are required to prominently display the Home Energy Plus Weatherization/WHEAP Co-Branding Poster in the agency's main waiting area for WHEAP intake if in-person intake is available. WHEAP agencies are encouraged to also consider displaying the poster in intake workers' offices, outreach locations, and other appropriate areas. Agencies may choose to develop and display their own WHEAP posters provided they contact the HE+ logo and the county/tribe specific Co-Branding logo.

3) Agencies are encouraged to play the Home Energy Plus Weatherization/WHEAP video(s)* in the agencies' main customer service waiting areas. Agencies shall make the Home Energy Plus video(s) accessible via electronic media such as Facebook, Twitter and/or the agency website, if feasible.

4) Agencies shall reference and provide the link to the Division website WHEAP Page, via electronic media such as Facebook, Twitter, and/or the agency website, if feasible.

5) Agencies are required to ensure that persons with limited English proficiency (LEP)

have meaningful and equal access to benefits and services. The agency is required to provide spoken interpretation in addition to translated written publications as some individuals may not read English or other language. The agency must have a mechanism to communicate orally with people with LEP. Providing the Spanish version of the Home Energy Plus (HE+) Application is not fulfilling this policy requirement. If the applicant requires spoken communication and/or explanation in addition to the translated application, agencies shall ensure verbal interpretation is available.

6) Agencies are required to provide services to the disabled and impaired, including but not limited to assisting applicants with the completion of the application form, translation of material, interpretation services for deaf, and reading services for blind.

7) Agencies must establish HE+ application methods that are accessible to targeted households and process submitted Online Applications within a reasonable time.

8) Agencies must comply with Federal Law and provide an alternate intake method separate from a site which administers W-2/TANF. All agencies are compliant due to the implementation of Online Applications.

9) Agencies must provide assistance with the preparation and submittal of applications by persons who are homebound.

10) Agencies must arrange an early application period for persons in targeted groups and high-risk households.

11) Agencies are required to complete a Program Operations and Community Service Plan (POCS) Plan. The Division provided template is available on the HE+ Training & Technical Assistance website under WHEAP>Forms. Each agency is required to review their current Plan and update the date reviewed section on the Plan before the start of each program year. The goal of this plan is to provide agencies with a means to describe how the agency will conduct outreach, how they will identify and enroll eligible households in their communities and explain how the agency will reach targeted households. The POCS Plan should indicate what other community resources/ stakeholders play a role in this outreach effort and identify key stakeholders that the agency coordinates efforts/referrals with. In addition, the list should indicate the local agency's contact person and the resource services provided. The plan must be made available to the Division upon request.

12) Agencies may establish interagency agreements with other low-income program offices to perform some of the outreach activities to targeted groups.

*The Home Energy Plus videos are on the Home Energy Plus Training and Technical Website (HE+ TTA) under Administration > HE+ Videos.

Young children?

☒ Yes ☐ No

If yes, describe:

Priority in eligibility to elderly, disabled and households with young children, please see WHEAP manual section. 9.2.3

Outreach

1) Agencies are required to provide outreach services to maximize participation of eligible persons for WHEAP benefits. Outreach activities must target households with disabled persons, elderly persons, children under six years old, and persons working at low-wage jobs (working poor). The "outreach indicator" is a question on the paper and system application. See WHEAP manual section 4.4.4 for more information about the outreach indicator.

2) Agencies are required to prominently display the Home Energy Plus Weatherization/ WHEAP Co-Branding Poster in the agency's main waiting area for WHEAP intake if in-person intake is available. WHEAP agencies are encouraged to also consider displaying the poster in intake workers' offices, outreach locations, and other appropriate areas. Agencies may choose to develop and display their own WHEAP posters provided they contact the HE+ logo and the county/ tribe specific Co-Branding logo.

3) Agencies are encouraged to play the Home Energy Plus Weatherization/WHEAP video(s)* in the agencies' main customer service waiting areas. Agencies shall make the Home Energy Plus video(s) accessible via electronic media such as Facebook, Twitter and/or the agency website, if feasible.

4) Agencies shall reference and provide the link to the Division website WHEAP Page, via electronic media such as Facebook, Twitter, and/or the agency website, if feasible.

5) Agencies are required to ensure that persons with limited English proficiency (LEP) have meaningful and equal access to benefits and services. The agency is required to provide spoken interpretation in addition to translated written publications as some individuals may not read English or other language. The agency must have a mechanism to communicate orally with people with LEP. Providing the Spanish version of the Home Energy Plus (HE+) Application is not fulfilling this policy requirement. If the applicant requires spoken communication and/or explanation in addition to the translated application, agencies shall ensure verbal interpretation is available.

6) Agencies are required to provide services to the disabled and impaired, including but not limited to assisting applicants with the completion of the application form, translation of material, interpretation services for deaf, and reading services for blind.

7) Agencies must establish HE+ application methods that are accessible to targeted households and process submitted Online Applications within a reasonable time.

8) Agencies must comply with Federal Law and provide an alternate intake method separate from a site which administers W-2/TANF. All agencies are compliant due to the implementation of Online Applications.

9) Agencies must provide assistance with the preparation and submittal of applications by persons who are homebound.

10) Agencies must arrange an early application period for persons in targeted groups and high-risk households.

11) Agencies are required to complete a Program Operations and Community Service Plan (POCS) Plan. The Division provided template is available on the HE+ Training & Technical Assistance website under WHEAP>Forms. Each agency is required to review their current Plan and update the date reviewed section on the Plan before the start of each program year. The goal of this plan is to provide agencies with a means to describe how the agency will conduct outreach, how they will identify and enroll eligible households in their communities and explain how the agency will reach targeted households. The POCS Plan should indicate what other community resources/stakeholders play a role in this outreach effort and identify key stakeholders that the agency coordinates efforts/referrals with. In addition, the list should indicate the local agency's contact person and the resource services provided. The plan must be made available to the Division upon request.

12) Agencies may establish interagency agreements with other low-income program offices to perform some of the outreach activities to targeted groups.

*The Home Energy Plus videos are on the Home Energy Plus Training and Technical Website (HE+ TTA) under Administration > HE+ Videos.

Households with high energy burdens?

☒ Yes ☐ No

If yes, describe:

The benefit formula is weighted to award a higher benefit to those households with a high energy burden.

Other?

☐ Yes ☒ No

If yes, describe:

Explanations of policies for each "yes" checked above:

Priority in eligibility to elderly, disabled and households with young children, please see WHEAP manual section. 9.2.3

Outreach

1) Agencies are required to provide outreach services to maximize participation of eligible persons for WHEAP benefits. Outreach activities must target households with disabled persons, elderly persons, children under six years old, and persons working at low-wage jobs (working poor). The "outreach indicator" is a question on the paper and system application. See WHEAP manual section 4.4.4 for more information about the outreach indicator.

2) Agencies are required to prominently display the Home Energy Plus Weatherization/WHEAP Co-Branding Poster in the agency's main waiting area for WHEAP intake if in-person intake is available. WHEAP agencies are encouraged to also consider displaying the poster in intake workers' offices, outreach locations, and other appropriate areas. Agencies may choose to develop and display their own WHEAP posters provided they contact the HE+ logo and the county/tribe specific Co-Branding logo.

3) Agencies are encouraged to play the Home Energy Plus Weatherization/WHEAP video(s)* in the agencies' main customer service waiting areas. Agencies shall make the Home Energy Plus video(s) accessible via electronic media such as Facebook, Twitter and/or the agency website, if feasible.

4) Agencies shall reference and provide the link to the Division website WHEAP Page, via electronic media such as Facebook, Twitter, and/or the agency website, if feasible.

5) Agencies are required to ensure that persons with limited English proficiency (LEP) have meaningful and equal access to benefits and services. The agency is required to provide spoken interpretation in addition to translated written publications as some individuals may not read English or other language. The agency must have a mechanism to communicate orally with people with LEP. Providing the Spanish version of the Home Energy Plus (HE+) Application is not fulfilling this policy requirement. If the applicant requires spoken communication and/or explanation in addition to the translated application, agencies shall ensure verbal interpretation is available.

6) Agencies are required to provide services to the disabled and impaired, including but not limited to assisting applicants with the completion of the application form, translation of material, interpretation services for deaf, and reading services for blind.

7) Agencies must establish HE+ application methods that are accessible to targeted households and process submitted Online Applications within a reasonable time.

8) Agencies must comply with Federal Law and provide an alternate intake method separate from a site which administers W-2/TANF. All agencies are compliant due to the implementation of Online Applications.

9) Agencies must provide assistance with the preparation and submittal of applications by persons who are homebound.

10) Agencies must arrange an early application period for persons in targeted groups and high-risk households.

11) Agencies are required to complete a Program Operations and Community Service Plan (POCS) Plan. The Division provided template is available on the HE+ Training & Technical Assistance website under WHEAP>Forms. Each agency is required to review their current Plan and update the date reviewed section on the Plan before the start of each program year. The goal of this plan is to provide agencies with a means to describe how the agency will conduct outreach, how they will identify and enroll eligible households in their communities and explain how the agency will reach targeted households. The POCS Plan should indicate what other community resources/stakeholders play a role in this outreach effort and identify key stakeholders that the agency coordinates efforts/referrals with. In addition, the list should indicate the local agency's contact person and the resource services provided. The plan must be made available to the Division upon request.

12) Agencies may establish interagency agreements with other low-income program offices to perform some of the outreach activities to targeted groups.

*The Home Energy Plus videos are on the Home Energy Plus Training and Technical Website (HE+ TTA) under Administration > HE+ Videos.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

2.4 Describe how you prioritize the provision of heating assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

There is no differentiation in the formula for calculating benefits for applicants, however, funds are allocated to agencies specifically for outreach to vulnerable households including those with elderly, disabled or young children as residents. These households are encouraged and assisted to apply for LIHEAP benefits.

The benefit formula for the State of Wisconsin provides a 4:1 ratio for households with high energy burdens. Households with the highest energy burden and the lowest income receive the highest benefit. The State of Wisconsin rounds down the median income guidelines for determining income eligibility.

In addition, there is an early application period targeted to households with fixed income (Social Security Benefits, Pensions, dividends/ interest income and/or Veteran's Benefits) which allows them to apply in the September prior to the following Federal Fiscal Year.

2.5 Check the variables you use to determine your benefit levels. (Check all that apply):

☒	Income
☒	Family (household) size
☒	Home energy cost or need:
☒	Fuel type
☐	Climate/region
☒	Individual bill
☒	Dwelling type
☒	Energy burden (% of income spent on home energy)
☐	Energy need
☐	Other - Describe:

Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

2.6 Describe estimated benefit levels for the fiscal year for which this plan applies. Please note: the maximum and minimum benefits must be shown in the payment matrix.

Minimum Benefit	\$30	Maximum Benefit	\$2,784
-----------------	------	-----------------	---------

2.7 Do you provide in-kind (e.g., blankets, space heaters) and/or other forms of benefits? ☒ Yes ☐ No

If yes, describe.

Wisconsin Home Energy Assistance Program (WHEAP) agencies can provide additional services such as blankets, space heaters, weatherstripping, LED's, etc. LIHEAP funds are used to provide these additional services.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 3 - COOLING ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 3 - Cooling Assistance

Eligibility, 2605(c)(1)(A), 2605 (b)(2) - Assurance 2

3.1 Designate The income eligibility threshold used for the Cooling component:

Add	Household size	Eligibility Guideline	Eligibility Threshold
1			0.00%

3.2 Do you have additional eligibility requirements for Cooling assistance? ☐ Yes ☐ No

3.3 Check the appropriate boxes below and describe the policies for each.

Do you require an Assets test? ☐ Yes ☐ No

If yes, describe:

Do you have additional/differing eligibility policies for:

Renters? ☐ Yes ☐ No

If yes, describe:

Renters Living in subsidized housing? ☐ Yes ☐ No

If yes, describe:

Renters with utilities included in the rent? ☐ Yes ☐ No

If yes, describe:

Do you give priority in eligibility to:

Older Adults (60 years or older)? ☐ Yes ☐ No

If yes, describe:

Individuals with a disability? ☐ Yes ☐ No

If yes, describe:

Young children? ☐ Yes ☐ No

If yes, describe:

Households with high energy burdens? ☐ Yes ☐ No

If yes, describe:

Other? ☐ Yes ☐ No

If yes, describe:

Explanations of policies for each "yes" checked above:

3.4 Describe how you prioritize the provision of cooling assistance to vulnerable populations, e.g., benefit amounts, early application periods, etc.

Determination of Benefits 2605(b)(5) - Assurance 5, 2605(c)(1)(B)

3.5 Check the variables you use to determine your benefit levels. (Check all that apply):

☐	Income
☐	Family (household) size
☐	Home energy cost or need:
☐	Fuel type
☐	Climate/region
☐	Individual bill

☐	Dwelling type		
☐	Energy burden (% of income spent on home energy)		
☐	Energy need		
☐	Other - Describe:		
Benefit Levels, 2605(b)(5) - Assurance 5, 2605(c)(1)(B)			
3.6 Describe estimated benefit levels for the fiscal year for which this plan applies. <i>Please note: the maximum and minimum benefits must be shown in the payment matrix.</i>			
Minimum Benefit	\$0	Maximum Benefit	\$0
3.7 Do you provide in-kind (e.g., fans, air conditioners) and/or other forms of benefits? ☐ Yes ☐ No			
If yes, describe.			
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.			

Section 4 - CRISIS ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 4: CRISIS ASSISTANCE

Eligibility - 2604(c), 2605(c)(1)(A)

4.1 Designate the income eligibility threshold used for the crisis component

Add	Household size	Eligibility Guideline	Eligibility Threshold
1	All Household Sizes	State Median Income	60.00%

4.2 Provide your LIHEAP program's definition for determining a crisis. If you administer multiple crisis assistance programs (winter, summer, and/or year-round), Include all program definitions.

Emergency Crisis Assistance - Providing assistance and/or services to households experiencing energy emergencies or are at risk of an energy emergency. Prevention Crisis Assistance-- Identifying and providing assistance to high-risk households. Prevention services are provided year-round.

While there is not a formal asset test, other resources available within the county may be offered to the household before prevention assistance is provided. No household is eligible for crisis cooling assistance without a declaration by a local or state public health agency of a heat emergency and authorization is given by the Department of Administration.

Determination of eligibility for regular heating assistance benefits determines a household's eligibility for Emergency and/or Prevention Crisis Assistance for the remainder of the program year. Households that apply outside the heating season that are otherwise eligible for energy assistance are eligible for crisis assistance.

4.3 What constitutes a life-threatening crisis?

To qualify for a potentially life-threatening crisis, the weather and other conditions must create an urgent concern for the safety of the household's residents. Determination of an urgent safety concern of an eligible household is based on four factors: expected low temperature, condition of the dwelling unit (habitable, operable furnace, etc.), presence of vulnerable persons (persons with medical need for heat -- elderly, handicapped, children under six, etc.), and alternatives available to the household (place for temporary relocation, etc.). The presence of vulnerable persons may affect the amount and type of benefit provided to the household.

Crisis Requirement, 2604(c)

4.4 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households? 48Hours

4.5 Within how many hours do you provide an intervention that will resolve the energy crisis for eligible households in life-threatening situations? 18Hours

Crisis Eligibility, 2605(c)(1)(A)

	Winter Crisis	Summer Crisis	Year-Round Crisis
4.6 Do you have additional eligibility requirements for Crisis Assistance?	☐	☐	☒

4.7 Check the appropriate boxes below to indicate type(s) of assistance provided

0			
Do you require an Assets test?	☐	☐	☐
Do you give priority in eligibility to:			
Older Adults (60 years or older)?	☐	☐	☒
Individuals with a disability?	☐	☐	☒
Young Children?	☐	☐	☒
Households with high energy burdens?	☐	☐	☒
Other (Specify):	☐	☐	☐

In Order to receive crisis assistance:

Must the household have received a shut-off notice or have a near empty tank?	☐	☐	☐
---	--------------------------	--------------------------	--------------------------

Must the household have been shut off or have an empty tank?	☐	☐	☐
Must the household have exhausted their regular heating benefit?	☐	☐	☐
Must renters with heating costs included in their rent have received an eviction notice?	☐	☐	☐
Must heating/cooling be medically necessary?	☐	☐	☐
Must the household have non-working heating or cooling equipment?	☐	☐	☐
Other (Specify):	☐	☐	☐
Do you have additional/differing eligibility policies for:			
Renters?	☐	☐	☐
Renters living in subsidized housing?	☐	☐	☐
Renters with utilities included in the rent?	☐	☐	☒
Explanations of policies for each "yes" checked above:			
Priority in eligibility to elderly, disabled, young children, and households with high energy burdens, please see sections: 9.2.3 and 4.4.16 (included in Section 2). Crisis Assistance needs a direct pay relationship with a participating energy vendor.			
Determination of Benefits			
4.8 How do you handle crisis situations?			
☒	Separate component		
☐	Benefit Fast Track, no separate amount of crisis funds is issued. Rather benefits are issued to crisis customers within crisis response time frames.		
☐	Other - Describe:		
4.9 If you have a separate component, how do you determine crisis assistance benefits?			
☐	Amount to resolve the crisis. \$0		
☒	Other - Describe: WHEAP manual section 5.2.1.2: Agencies shall work with customers to determine the amount needed to prevent and/or restore an energy loss. Workers shall use the appropriate funding code/Service Type (LIHEAP for heating and PB for non-heating electric). Agencies shall consider the entire household situation to determine the amount needed regardless of the fuel type. When evaluating delivered fuel crisis assistance, agencies shall minimize, to the extent possible, fuel delivery charges and maximize assistance for actual fuel costs. This may result in providing preventative crisis assistance before the fuel tank is less than 20% full. Crisis assistance shall not be issued to accounts that have substantial credit balances. A brief summary provided in the HE+ System crisis application "Customer Request Reason" or an HE+ System Note is required to identify the reason for the Crisis Assistance. Agencies must contact the customer to verify they are still residing in the home and to verify with the vendor that the account is active, account balance, and that customers have met their customer contribution. The amount issued should coincide with the amount listed on the customer's energy crisis verification. If an exception is needed to the benefit amount, submit a request for an exception to the Division via the HE+ Help Desk. The Department of Administration (Wisconsin Home Energy Assistance Program) has contracted with the Keep Wisconsin Warm Fuel Fund and/or Heat for Heroes. Once the fuel fund has raised match funds, LIHEAP matched funds are awarded. These funds are another resource made available to local sub-grantees for eligible low-income customers. WHEAP manual section 3.2.2.3: A homeless applicant who has proof of a permanent address they will be moving into may be eligible for an energy assistance benefit if the following conditions are met: • Verification of a move to a permanent address. This should be verified by a lease agreement, phone call to the landlord, or information from a homeless shelter. • The applicant must have selected a vendor to provide service in their new residence unless energy service is included in the rent or paid to the landlord in a separate payment. • If the homeless applicant cannot secure a home energy account due to large arrearages on a previous account, or does not have the money for a deposit, prevention services may be used to assist them with securing energy services.		
Crisis Requirements, 2604(c)			
4.10 Do you accept applications for energy crisis assistance at sites that are geographically accessible to all households in the area to be served?			
☒ Yes ☐ No Explain.			
The State of Wisconsin allows applications to be taken via the phone, in office, mail, alternate locations, and online. Outreach locations are both categorically and geographically diverse.			
4.11 Do you provide individuals who are individuals with a disability the means to:			

Submit applications for crisis benefits without leaving their homes?			
☒ Yes ☐ No			
If No, explain.			
Travel to the sites at which applications for crisis assistance are accepted?			
☒ Yes ☐ No			
If No, explain.			
If you answered "No" to both options in question 4.11, please explain alternative means of intake to those who are homebound or physically disabled?			
Benefit Levels, 2605(c)(1)(B)			
4.12 Indicate the maximum benefit for each type of crisis assistance offered.			
Winter Crisis	\$0.00	maximum benefit	
Summer Crisis	\$0.00	maximum benefit	
Year-round Crisis	\$1,600.00	maximum benefit	
4.13 Do you provide in-kind (e.g. blankets, space heaters, fans) and/or other forms of benefits?			
☒ Yes ☐ No If yes, Describe			
WHEAP agencies' in-kind provisions include blankets, space heaters, fans, and temporary lodging.			
4.14 Do you provide for equipment repair or replacement using crisis funds?			
☒ Yes ☐ No			
If you answered "Yes" to question 4.14, you must complete question 4.15.			
4.15 Check appropriate boxes below to indicate type(s) of assistance provided.			
	Winter Crisis	Summer Crisis	Year-round Crisis
Heating system repair	☐	☐	☒
Heating system replacement	☐	☐	☒
Cooling system repair	☐	☐	☐
Cooling system replacement	☐	☐	☐
Wood stove purchase	☐	☐	☐
Pellet stove purchase	☐	☐	☐
Solar panel(s)	☐	☐	☐
Utility poles / gas line hook-ups	☐	☐	☒
Other (Specify): Temporary lodging.	☐	☐	☒
4.16 Do any of the utility vendors you work with enforce a moratorium on shut offs?			
☒ Yes ☐ No			
If you responded "Yes" to question 4.16, you must respond to question 4.17.			
4.17 Describe the terms of the moratorium and any special dispensation received by LIHEAP clients during or after the moratorium period.			
The State of Wisconsin institutes a moratorium on disconnections for regulated utilities from November 1 st to April 15 th .			
4.18 If you experience a natural disaster, do you intend to utilize LIHEAP crisis funds to address disaster related crisis situations? ☒ Yes ☐ No			
If yes, describe If the Governor declares a state of emergency or in a county, we may use the 15% of the Weatherization LIHEAP transfer to replace weatherization energy conservation measures damaged due to fire, flooding, or an act nature as authorized under the Department of Energy Weatherization Plan.			

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 5 - WEATHERIZATION ASSISTANCE

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 5: WEATHERIZATION ASSISTANCE

Eligibility, 2605(c)(1)(A), 2605(b)(2) - Assurance 2

5.1 Designate the income eligibility threshold used for the Weatherization component

Add	Household Size	Eligibility Guideline	Eligibility Threshold
1	All Household Sizes	State Median Income	60.00%

5.2 Do you enter into an interagency agreement to have another government agency administer a WEATHERIZATION component? ☐ Yes ☒ No

5.3 If yes, name the agency and attach a copy of the Internal Agreement or Contract.

5.4 Is there a separate monitoring protocol for weatherization? ☒ Yes ☐ No

WEATHERIZATION - Types of Rules

5.5 Under what rules do you administer LIHEAP weatherization? (Check only one.)

☐ Entirely under LIHEAP (not DOE) rules

☐ Entirely under DOE WAP (not LIHEAP) rules

☐ Mostly under LIHEAP rules with the following DOE WAP rule(s) where LIHEAP and WAP rules differ (Check all that apply):

☐ Income Threshold

☐ Weatherization of entire multi-family housing structure is permitted if at least 66% of units (50% in 2- & 4-unit buildings) are eligible units or will become eligible within 180 days

☐ Weatherize shelters temporarily housing primarily low income persons (excluding nursing homes, prisons, and similar institutional care facilities).

☐ Other - Describe:

☒ Mostly under DOE WAP rules, with the following LIHEAP rule(s) where LIHEAP and WAP rules differ (Check all that apply.):

☒ Income Threshold

☐ Weatherization not subject to DOE WAP maximum statewide average cost per dwelling unit.

☒ Weatherization measures are not subject to DOE Savings to Investment Ratio (SIR) standards.

☒ Other - Describe:

50% eligibility qualifications for multi-unit buildings

Eligibility, 2605(b)(5) - Assurance 5

5.6 Do you require an assets test? ☐ Yes ☒ No

5.7 Do you have additional/differing eligibility policies for :

Renters	☒ Yes ☐ No
Renters living in subsidized housing?	☒ Yes ☐ No
Renters with utilities included in the rent?	☒ Yes ☐ No

5.8 Do you give priority in eligibility to:

Older Adults?	☒ Yes ☐ No
Individuals with a disability?	☒ Yes ☐ No
Young Children?	☒ Yes ☐ No

House holds with high energy burdens?	☒ Yes ☐ No
Other? Tribal Referrals	☒ Yes ☐ No
If you selected "Yes" for any of the options in questions 5.6, 5.7, or 5.8, you must provide further explanation of these policies in the text field below. Tribal referrals are given priority by Weatherization grantees. Renters who receive rental assistance (Section 8 or other government assisted housing) and their heat and/or electric is included in their rent and renters who pay neither rent or heating/electric cost because of an in-kind rental agreement are not eligible for energy assistance or weatherization. The State of Wisconsin requires outreach activities to target households with elderly, disabled or children under six years old and person working at low-wage jobs. Weatherization also targets high energy users, and our statewide computer system automatically refers tribal residents to our weatherization agencies.	
Benefit Levels	
5.9 Do you have a maximum LIHEAP weatherization benefit/expenditure per household? ☐ Yes ☒ No	
5.9a If yes, what is the maximum? \$0	
5.10 Do you use an Average Cost per Unit (ACPU). ☐ Yes ☒ No	
5.10a If so, what is the ACPU amount? \$0	
Types of Assistance, 2605(c)(1), (B) & (D)	
5.11 What LIHEAP weatherization measures do you provide ? (Check all categories that apply.)	
☒ Weatherization needs assessments/audits	☒ Energy related roof repair
☒ Caulking and insulation	☒ Major appliance repairs
☐ Storm windows	☒ Major appliance replacement
☒ Furnace/heating system modifications/repairs	☐ Windows/sliding glass doors
☒ Furnace replacement	☐ Doors
☒ Cooling system modifications/repairs	☒ Water Heater
☒ Water conservation measures	☒ Cooling system replacement
☐ Roof top solar	☒ Community solar projects
☒ Compact florescent light bulbs	☒ Other - Describe: Light-emitting diode (LEDs)
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.	

Section 6 - Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 6: Outreach, 2605(b)(3) - Assurance 3, 2605(c)(3)(A)

6.1 Select all outreach activities that you conduct that are designed to assure that eligible households are made aware of all LIHEAP assistance available:

- ☒ Place posters/flyers in local and county social service offices, offices of aging, Social Security offices, VA, etc.
- ☒ Publish articles in local newspapers or broadcast media announcements.
- ☒ Include inserts in energy vendor billings to inform individuals of the availability of all types of LIHEAP assistance.
- ☒ Mass mailing(s) to prior-year LIHEAP recipients.
- ☒ Inform low income applicants of the availability of all types of LIHEAP assistance at application intake for other low-income programs.
- ☒ Execute interagency agreements with other low-income program offices to perform outreach to target groups.
- ☒ Web Posting
- ☒ Email
- ☒ Texting
- ☒ Events
- ☒ Social Media
- ☒ Other (specify):
Kwik Trip Gas Station TV (GSTV)

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 7 - Coordination, 2605(b)(4) - Assurance 4

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 7: Coordination, 2605(b)(4) - Assurance 4

7.1 Describe how you will ensure that the LIHEAP program is coordinated with other programs available to low-income households (TANF, SSI, WAP, etc.).

☒	Joint application for multiple programs (indicate programs included) Weatherization
☒	Intake referrals to/from other programs (indicate programs included) Weatherization, Economic Support Programs, Utility Programs
☒	One - stop intake centers
☒	Other - Describe:

Wisconsin administers LIHEAP, DOE, Public Benefit weatherization programs and housing programs through the same state office, the Department of Administration, Division of Energy, Housing and Community Resources (DEHCR). LIHEAP is coordinated at the state level with income maintenance programs through agreements and data collection/sharing with the Department of Children and Families (DCF) and Department of Health Services (DHS). DCF operates the Temporary Assistance to Needy Families (TANF), W-2, including the jobs and welfare to work program as well as other assistance programs. DHS operates Medicaid, FoodShare (SNAP), and Aging and Disability Resource Centers.

State of Wisconsin Public Benefits funds are utilized to make non-heating payments to eligible recipients. Public Benefit funds are fully integrated into the Wisconsin Home Energy Assistance Program, WHEAP. LIHEAP funding may be used to sustain non-heating payments to eligible recipients.

Coordination between the state and local level is achieved by including representation from a variety of private and government agencies interested in energy services and/or services for low-income persons on the Low-Income Energy Advisory Committee (LIEAC). Wisconsin also utilizes a workgroup from the Wisconsin Home Energy Assistance Program (WHEAP) agencies to provide input on new policy and system related changes.

WHEAP agencies coordinate their programs with each other, with utility-operated programs and with other government and nonprofit programs operated within their service area. WHEAP Agencies are required to develop a local coordination plan annually to show what is being done to coordinate with weatherization agencies, fuel providers (utility and bulk fuels), and other local groups.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 8 - Agency Designation,, 2605(b)(6) - Assurance 6

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 8: Agency Designation, 2605(b)(6) - Assurance 6 (Required for state Grant recipients and the Commonwealth of Puerto Rico)

8.1 How would you categorize the primary responsibility of your State agency?

☒	Administration Agency
☐	Commerce Agency
☐	Community Services Agency
☐	Energy/Environment Agency
☐	Housing Agency
☐	State Department of Welfare (administers TANF, SNAP, and/or Medicaid)
☐	Economic Development Agency
☐	Other - Describe:

Include current list of subrecipient name, main office address (do not list P.O. Box), phone number, county(s) served, Congressional District, and UEI number. *Used for Near hotline and OCS Service Provider Tool and clearinghouse.*

Alternate Outreach and Intake, 2605(b)(15) - Assurance 15

If you selected "State Department of Welfare (administers TANF, SNAP, and/or Medicaid)" in question 8.1, you must complete questions 8.2, 8.3, and 8.4, as applicable.

8.2 How do you provide alternate outreach and intake for heating assistance?

8.3 How do you provide alternate outreach and intake for cooling assistance?>

8.4 How do you provide alternate outreach and intake for crisis assistance?

8.5 LIHEAP Component Administration.	Heating	Cooling	Crisis	Weatherization
8.5a Who determines client eligibility?	Other	Non-Applicable	Other	Other
8.5b Who processes benefit payments to gas and electric vendors?	State Administration Agency	Non-Applicable	State Administration Agency	
8.5c who processes benefit payments to bulk fuel vendors?	State Administration Agency	Non-Applicable	State Administration Agency	
8.5d Who performs installation of weatherization measures?				Non-profits

Include a current list of subrecipient(s) name, main office address (do not list P.O. Box), phone

number, county(s) served, Congressional District, and UEI number.

If any of your LIHEAP components are not centrally-administered by a state agency, you must complete questions 8.6, 8.7, 8.8, and, if applicable, 8.9.

8.6 What is your process for selecting local administering agencies?

State of Wisconsin Statute requires the contracts for administering the program be with Wisconsin counties, tribal governments, or non-profits. Existing contracted agencies may elect to continue to administer the program if contract terms are met. If a contracted agency decides to relinquish the program, the state selects a new agency based on an internal review of the situation that includes the location and size of the applicant pool of the relinquished territory and interest from and performance of other contracted or related agencies.

Wisconsin follows Department of Energy regulation 440.15 for selecting weatherization subgrantees.

8.7 How many local administering agencies do you use? 29

8.8 Have you changed any local administering agencies in the last year?

- ☒ Yes
☐ No

8.9 If so, why?

☐

Agency was in noncompliance with Grant recipient requirements for LIHEAP -

☐

Agency is under criminal investigation

☐

Added agency

☐

Agency closed

☒

Other - describe

Agency chose to relinquish administering the program due to funding limitations.

8.10 If a subrecipient is no longer providing LIHEAP, are you aware of prior-year LIHEAP funds being mismanaged or misspent? ☐ Yes

☒ No

8.10a If yes, please explain.

8.10b If you are aware, were other federal programs impacted such as CSBG, SSBG, Head Start, TANF, and Department of Energy Weatherization funding, etc. ☐ Yes ☒ No

8.10c If yes, please explain.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 9 - Energy Suppliers,, 2605(b)(7) - Assurance 7

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 9: Energy Suppliers, 2605(b)(7) - Assurance 7

9.1 Do you make payments directly to home energy suppliers?

Heating ☒ Yes ☐ No

Cooling ☐ Yes ☒ No

Crisis ☒ Yes ☐ No

Are there exceptions? ☒ Yes ☐ No

If yes, Describe.

For all of the items requiring policy references, please access the Wisconsin Home Energy Assistance Program (WHEAP) Manual at: <https://energyandhousing.wi.gov/Pages/AgencyResources/energy-assistance.aspx> and access the following sections:

1. Heating, please see WHEAP manual sections 2.3.1, 8.1, 8.2, and 8.8
2. Crisis, please see WHEAP manual sections 2.1.2, 5.2.1, and 8.2

Exceptions, please see WHEAP manual sections 8.1, 8.3, and 8.4

9.2 How do you notify the client of the amount of assistance paid?

Each Wednesday evening, the Wisconsin HE+ system processes all completed applications and automatically generates customer notification letters. At the time the LIHEAP payment is sent to the vendor (typically two days after the applications are processed by the system), the notification letter is sent to the customer, indicating the amount of the payment and the vendor to whom the payment was made. If the application is denied, the notification letter is still sent and provides the reason the application was denied.

Households receiving weatherization and/or energy related repairs receive a written work agreement of work to be performed.

9.3 How do you assure that the home energy supplier will charge the eligible household, in the normal billing process, the difference between the actual cost of the home energy and the amount of the payment?

The Department of Administration requires vendors to register for participation in the heating assistance program by completing and signing a Vendor Agreement/Contract. To register, fuel suppliers agree that clients will be: treated equally with non-LIHEAP households, not be adversely affected, e.g., the eligible household will be charged in the supplier's normal billing process, the price charged will be the price normally charged non- LIHEAP eligible households, invoices will clearly indicate the amount and cost of home energy provided, and no discrimination will occur against eligible households with respect to terms, deferred payment plans, credit, conditions of sales or discounts offered other home energy customers.

In addition, Vendor Desktop Monitoring is conducted quarterly, which includes a review of LIHEAP payments and fuel provided, in comparison with non-LIHEAP customers.

LIHEAP crisis assistance payments are only made to vendors registered for heating assistance. In addition to signing assurances guaranteeing that LIHEAP customers will be treated equally with non-LIHEAP households and will not be adversely affected, registered vendors are required to provide information on costs and procedures for emergency fuel delivery.

Energy-related home repair and weatherization purchases made by LIHEAP weatherization providers must follow appropriate state or federal procurement guidelines and applicable material standards.

9.4 How do you assure that no household receiving assistance under this title will be treated adversely because of their receipt of LIHEAP assistance?

The Department of Administration requires vendors to register for participation in the heating assistance program by completing and signing a Vendor Agreement/Contract. To register, fuel suppliers agree that customers will be: treated equally with non-LIHEAP households, not be adversely affected, e.g., the eligible household will be charged in the supplier's normal billing process, the price charged will be the price normally charged non- LIHEAP eligible households, invoices will clearly indicate the amount and cost of home energy provided, and no discrimination will occur against eligible households with respect to terms, deferred payment plans, credit, conditions of sales or discounts offered other home energy customers.

In addition, Vendor Desktop Monitoring process is conducted quarterly which includes a review of LIHEAP payments and fuel provided, in comparison with non-LIHEAP customers.

Crisis assistance payments are only made to vendors registered for heating assistance. In addition to signing assurances guaranteeing that LIHEAP customers will be treated equally with non-LIHEAP households and will not be adversely affected, registered vendors are required to provide information on costs and procedures for emergency fuel delivery.

Energy related home repair and weatherization purchases made by LIHEAP weatherization providers must follow appropriate state or federal procurement guidelines and applicable material standards.

9.5. Do you make payments contingent on unregulated vendors taking appropriate measures to alleviate the energy burdens of eligible households?

☒ Yes ☐ No

If so, describe the measures unregulated vendors may take.

Unregulated vendors are subject to the same program operation policies as regulated vendors. All vendors must register with the Wisconsin Home Energy Assistance Program (WHEAP) by submitting a complete and signed vendor agreement/contract before any payments are made to the vendor. Vendor access to the Home Energy Plus System is limited and does not allow vendors to enter information into the system. Vendor payments are Home Energy Plus System generated and based on approved applications.

Attach a copy of the template statewide vendor agreement or a policy that indicates local agreements must adhere to statewide policies and assurances.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 10 - Program, Fiscal Monitoring, and Audit, 2605(b)(10) - Assurance 10

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 10: Program, Fiscal Monitoring, and Audit, 2605(b)(10)

10.1. How do you ensure good fiscal accounting and tracking of funds?

Vendor benefit refunds are tracked by the application number in HE+ and associated vendor with that application. Refunds are processed through HE+ to STAR where refunds are posted to the correct accounting codes.

10.1a Provide your definitions of the following:

Obligation

All LIHEAP funds are considered obligated at the state level or through (subgrantee) contracts when they are expended except for the administrative funds which are also considered obligated if they are encumbered on a purchase order in year one. Per Wis. Stats. s. 16.27(3)(e)(1) the 15% transfer to weatherization of the funding received each federal fiscal year is considered obligated to weatherization upon receipt of the Federal award.

Expenditures

Eligible costs per 2 CFR 200 that have been expended in support of the LIHEAP Program.

Expenditure timeframe

The expenditure timeframe is the federal fiscal year from October 1 through September 30 for a duration of five years with a 120-day closeout period.

Administrative costs

Any expenditure incurred by the State or subrecipient normally associated with the support of the LIHEAP program and consists of staff salaries, fringe, indirect, travel, supplies and services, space rent, data processing other admin and operating expenses.

Audit Process

10.2. Is your LIHEAP program audited annually under the Single Audit Act and OMB Circular A - 133?

☒ Yes ☐ No

10.2a - if yes, describe your auditor selection process.

The audit and auditor (Wisconsin Legislative Audit Bureau) selection is statutorily directed per Wis. Stats. s. 13.94(1)(b)

10.3. Describe any audit findings of the grant recipient (i.e. State/Tribe/Territory) rising to the level of material weakness or reportable condition cited in the single audits, inspector general reviews, or other government agency reviews from the most recently audited fiscal year.

No Findings ☒

Finding	Type	Brief Summary	Resolved?	Action Taken
1				

10.4. Audits of Local Administering Agencies

What types of annual audit requirements do you have in place for local administering agencies/district offices?
Select all that apply.



Local agencies/district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133



Local agencies/district offices are required to have an annual audit (other than A-133)



Local agencies/district offices' A-133 or other independent audits are reviewed by Grant recipient as part of compliance process.



Grant recipient conducts fiscal and program monitoring of local agencies/district offices



Local agencies and district offices are required to have an annual audit in compliance with Single Audit Act and OMB Circular A-133

Compliance Monitoring
10.5. Describe your monitoring process for compliance at each level below. Check all that apply.
Grant recipients have a policy in place for appropriate separation of duties and internal controls.
☒ Internal program review
☒ Departmental oversight
☒ Secondary review of invoices and payments
☒ Other program review mechanisms are in place. Describe:
Monitor details of at least one invoice per contract year.
Local Administering Agencies/District Offices:
☒ On - site evaluation
☒ Annual program review
☒ Monitoring through central database
☒ Desk reviews
☒ Client File Testing/Sampling
☒ Other program review mechanisms are in place. Describe:
Local agencies are required to conduct internal quality assurance reviews. Quality Assurance reviews ensure that workers are correctly interpreting and applying program requirements, policies, and eligibility determination.
10.6 Explain, or attach a copy of your local agency monitoring schedule and protocol.
Prior to each program year, the Division conducts a risk assessment evaluation that is based primarily on prior program year monitoring activities. The evaluation outputs are used to establish a provisional schedule for Desktop and Fiscal Monitoring and Training and Technical Assistance activities.
10.7. Describe how you select local agencies for monitoring reviews. Attach a risk assessment if subrecipients are utilized.
Site Visits: All agencies are monitored for programmatic and fiscal compliance on an annual basis. An onsite Training and Technical Assistance session is conducted for agencies with a higher risk assessment score.
Desk Reviews: Desktop Monitoring reviews are conducted on all agencies at least annually.
10.8. How often is each local agency monitored? Please attach a monitoring schedule if one has been developed. Annually
10.9. How many local agencies are currently on corrective action plans? 0
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 11 - Timely and Meaningful Public Participation, , 2605(b)(12) - Assurance 12, 2605(c)(2)U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01

OMB Clearance No.: 0970-013

Expiration Date: 02/28/2028

**LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP)
MODEL PLAN****Section 11: Timely and Meaningful Public Participation, 2605(b)(12), 2605(C)(2)****11.1 How did you obtain input from the public in the development of your LIHEAP plan? Select all that apply.***Note: Tribes do not need to hold a public hearing but must ensure participation through other means.*

- ☐ Tribal Council meeting(s)
- ☒ Public Hearing(s)
- ☒ Draft Plan posted to website and available for comment
- ☐ Hard copy of plan is available for public view and comment
- ☒ Comments from applicants are recorded
- ☒ Request for comments on draft Plan is advertised
- ☒ Stakeholder consultation meeting(s)
- ☐ Comments are solicited during outreach activities
- ☒ Other - Describe:

In addition to the Public Hearing for LIHEAP input, we hold a minimum of two meetings with all our Energy Assistance and Weatherization agencies annually. One of those meetings is conducted in person at our Annual Home Energy Plus Training conference and one is held prior to the start of the new program year. We also have a WHEAP work group that we meet with monthly when there are meeting topics as well as a quarterly Program Services work group that includes WHEAP and Wx agencies.. This, along with the Low Income Energy Advisory Committee (LIEAC) is where we receive the majority of our input regarding administering Energy Assistance/Home Energy Plus programs. Additional meetings and training sessions are scheduled if needed.

Public Hearings, 2605(a)(2) - For States and the Commonwealth of Puerto Rico Only**11.2 List the date and location(s) that you held public hearing(s) on the proposed use and distribution of your LIHEAP funds?**

	Date	Event Description
1	08/13/2026	LIHEAP Public Hearing
2	08/17/2026	Low Income Energy Advisory Committee (LIEAC) Meeting
3	06/04/2026	Monthly WHEAP Workgroup Meetings

11.3. How many parties commented on your plan at the hearing(s)? 0**11.4 Summarize the comments you received at the hearing(s).**

No comments.

11.5 What changes did you make to your LIHEAP plan as a result of public participation and solicitation of input?

None

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 12 - Fair Hearings,2605(b)(13) - Assurance 13

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 12: Fair Hearings, 2605(b)(13) - Assurance 13

12.1 How many fair hearings did the Grant recipient have in the prior federal Fiscal Year? 40

12.2 How many of those fair hearings resulted in the initial decision being reversed? 0

12.3 Describe any policy and/or procedural changes made in the last federal Fiscal Year as a result of fair hearings?

None

12.4 Describe your fair hearing procedures for households whose applications are denied and/or not acted upon in a timely manner.

Households have 45 days from the date the application was completed to file a fair hearing if they believe their application was incorrectly denied, the application received no action in the appropriate timeframe or incorrect facts or improper procedures were used to determine eligibility, assistance amounts, or services provided. The fair hearing procedures follows three steps, although not all applicants follow all steps in the order provided below:

Step 1: Local WHEAP Agency reviews the appeal request and works with the household to try to resolve the issue. If the household is not satisfied with the outcome of the WHEAP Agency's response, a written formal appeal may be submitted to the Division.

Step 2: The Division reviews the formal appeal to ensure compliance with the WHEAP policies, procedures, and applicable statutes. Once DEHCR completes their review, a written appeal decision is sent to the household. If the household is still not satisfied with the outcome of DEHCR's decision, a written formal appeal may be submitted to the Division of Hearings and Appeals (DHA).

Step 3: DHA receives an appeal request and sends an email with a copy of that request, along with a Summary of Action Leading to Appeal form "summary form" and a Request Withdrawal form to the local WHEAP agency contact. The local WHEAP agency completes the summary form and emails it back to DHA.

Under Step 3, if the local WHEAP agency is able to resolve the issue with the household, the WHEAP agency indicates that the issue was resolved and explains the actions taken in the Explanation of Action section of the summary form and advises the household to withdraw the appeal.

If the matter has not been resolved between the local WHEAP agency and household, DHA uses the information provided in the summary form to schedule the hearing.

DHA sends a letter to the household notifying them of receipt of the appeal, a letter when the hearing date is sent, and a letter with outcome results.

12.5 When and how are applicants informed of these rights?

Applicants are provided these rights upon application through signing a Certification Page, receiving the Benefit Notice, and via the People Letter that is sent to the customers directly.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 13 - Reduction of home energy needs, 2605(b)(16) - Assurance 16

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 13: Reduction of home energy needs, 2605(b)(16) - Assurance 16

13.1 Describe how you use LIHEAP funds to provide services that encourage and enable households to reduce their home energy needs and thereby the need for energy assistance?

The State of Wisconsin may, through contract with local LIHEAP providers and/or under contract with the Wisconsin Community Action Program and/or through arrangements with other service providers, engage in the following activities:

1. Budget counseling, energy conservation training, advocacy with fuel suppliers, household energy assessments and referrals.
2. Support for services provided by leveraged funds. These services will include those provided under regular crisis assistance, but only when non-federal funds are used toward copayments, etc.
3. Intensive case management targeted to households selected from those as "high heating costs compared to household income" and "high heating costs for dwelling type".

13.2 How do you ensure that you don't use more than 5% of your LIHEAP funds for these activities?

Wisconsin does not utilize funds under Assurance 16. The State of Wisconsin conducts similar activities that are reported via the Outreach and Crisis Assistance components of the program.

13.3 Describe the impact of such activities on the number of households served in the previous federal Fiscal Year.

13.4 Describe the level of direct benefits provided to those households in the previous federal Fiscal Year.

13.5 How many households received these services?

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 14 - Leveraging Incentive Program ,2607A

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 14:Leveraging Incentive Program, 2607(A)

14.1 Do you plan to submit an application for the leveraging incentive program?

☐ Yes ☒ No

14.2 Describe instructions to any third parties and/or local agencies for submitting LIHEAP leveraging resource information and retaining records.

14.3 For each type of resource and/or benefit to be leveraged in the upcoming year that will meet the requirements of 45 C.F.R. § 96.87(d)(2)(iii), describe the following:

Resource	What is the type of resource or benefit ?	What is the source(s) of the resource ?	How will the resource be integrated and coordinated with LIHEAP?
1			

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 15 - Training

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 15: Training

15.1 Describe the training you provide for each of the following groups:

a. Grant recipient Staff:

☒ Formal training provided virtually, on-site, and/or formal training conference

How often?

☒ Annually

☐ Biannually

☒ As needed

☐ Other, describe:

☒ Employees are provided with policy manual

☒ Other, describe:

New employees receive extensive on the job training from management and coworkers. Other employees attend NEADA, NEUAC and other related conferences.

b. Local Agencies:

☒ Formal training provided virtually, on-site, and/or formal training conference

How often?

☒ Annually

☐ Biannually

☒ As needed

☒ Other, describe: Training is provided via ongoing help desk and monitoring activities.

☒ On-site training

How often?

☒ Annually

☐ Biannually

☒ As needed

☒ Other, describe: Training is provided via ongoing help desk and monitoring activities.

☒ Employees are provided with policy manual

☒ Other, describe:

Wisconsin maintains an online Learning Management System that provides self-guided modules and is successful completion is required before intake may begin.

c. Vendors

☒ Formal training conference

How often?

☒ Annually

☐ Biannually

☒ As needed

☐ Other, describe:

☒ Policies communicated through vendor agreements	
☐ Policies are outlined in a vendor manual	
☐ Other, describe:	
15.2 Does your training program address fraud reporting and prevention?	
☒ Yes	
☐ No	
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.	

Section 16 - Performance Goals and Measures, 2605(b)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 16: Performance Goals and Measures, 2605(b) - Required for States Only

16.1 Describe your progress toward meeting the data collection and reporting requirements of the four required LIHEAP (Benefit Targeting Index, Burden Reduction Targeting Index, Restoration of Home Energy Service, and Prevention of Loss of Home Energy Service). Include timeframes and plans for meeting these requirements and what you believe will be accomplished in the coming federal fiscal year.

The State of Wisconsin has implemented the required LIHEAP Performance Measures into our web-based application intake system, as well as the paper application process. Every applicant provides a response to the performance measures questions and that information is retained and made available for reporting purposes. All required data elements will be reported by the annual deadline.

Wisconsin is an active member of the PMIWG where at least twice per month related discussions occur with other states and APPRISE. Wisconsin reviews Performance Measures to ensure no outliers exist that may prompt a need to adjust policy, processes, and data collection.

If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.

Section 17 - Program Integrity, 2605(b)(10)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

August 1987, revised 05/92, 02/95, 03/96, 12/98, 11/01
OMB Clearance No.: 0970-013
Expiration Date: 02/28/2028

LOW INCOME HOME ENERGY ASSISTANCE PROGRAM(LIHEAP) MODEL PLAN

Section 17: Program Integrity, 2605(b)(10)

17.1 Fraud Reporting Mechanisms

a. Describe all mechanisms available to the public for reporting cases of suspected waste, fraud, and abuse. Select all that apply.

☐

Online Fraud Reporting

☒

Dedicated Fraud Reporting Hotline

☒

Report directly to local agency/district office or Grant recipient office

☒

Report to State Inspector General or Attorney General

☒

Forms and procedures in place for local agencies/district offices and vendors to report fraud, waste, and abuse

☒

Other - Describe:

WHEAP approved vendors and Weatherization agencies provide referrals for cases to review as well.

b. Describe strategies in place for advertising the above-referenced resources. Select all that apply

☐

Printed outreach materials

☐

Posted in local administering agencies offices.

☐

Addressed on LIHEAP application

☒

Website

☐

Other - Describe:

17.2. Identification Documentation Requirements

a. Indicate which of the following forms of identification are required or requested to be collected from LIHEAP applicants or their household members.

Type of Identification Collected	Collected from Whom?					
	Applicant Only		All Adults in Household		All Household Members	
Social Security Card is photocopied and retained	☐	Required	☐	Required	☐	Required
	☐	Requested	☐	Requested	☐	Requested
Social Security Number (Without actual Card)	☐	Required	☐	Required	☒	Required
	☐	Requested	☐	Requested	☐	Requested
Government-issued identification card (i.e.: driver's license, state ID, Tribal ID, passport, etc.)	☐	Required	☐	Required	☐	Required
	☐	Requested	☐	Requested	☐	Requested
Other		Applicant Only Required	Applicant Only Requested	All Adults in Household	All Adults in Household	All Household Members

				Required	Requested	Required	Requested
1		☐	☐	☐	☐	☐	☐
17.3. Citizenship/Legal Residency Verification							
What are your procedures for ensuring LIHEAP recipients are U.S. citizens or qualified non-citizens who are eligible to receive LIHEAP benefits? Select all that apply.							
☐ Clients sign an attestation of citizenship or U.S. Citizen or Qualified Non-Citizen							
☐ Client's submission of certain Social Security Administration cards is accepted as proof of U.S. Citizen or Qualified Non-Citizen.							
☒ Non-Citizens must provide documentation of immigration status							
☐ Citizens must provide a copy of their birth certificate, naturalization papers, or passport							
☐ Non-Citizens are verified through the SAVE system							
☐ Tribal members are verified through Tribal enrollment records/Tribal ID card							
☐ Other - Describe:							
17.4. Income Verification							
What methods does your agency utilize to verify household income? Select all that apply.							
☒ Require documentation of income for all adult household members							
☒ Pay stubs							
☒ Social Security award letters							
☒ Bank statements							
☒ Tax statements							
☒ Zero-income statements							
☐ Unemployment Insurance letters							
☐ Other - Describe:							
☒ Computer data matches:							
☒ Income information matched against state computer system (e.g., SNAP, TANF)							
☐ Proof of unemployment benefits verified with state Department of Labor							
☐ Social Security income verified with SSA							
☐ Utilize state directory of new hires							
☐ Other - Describe: Local agencies have access to verify social security income through other State Departments' eligibility/management system.							
b. Describe any exceptions to the above policies.							
17.5 Identification Verification							
Describe what methods are used to verify the authenticity of identification documents provided by clients or household members. Select all that apply							
☒ Verify SSNs with Social Security Administration							
☒ Match SSNs with death records from Social Security Administration or state agency							
☒ Match SSNs with state eligibility/case management system (e.g., SNAP, TANF)							
☐ Match with state Department of Labor system							
☐ Match with state and/or federal corrections system							
☐ Match with state child support system							
☐ Verification using private software (e.g., The Work Number)							
☐ In-person certification by staff (for tribal Grant recipients only)							
☐ Match SSN/Tribal ID number with tribal database or enrollment records (for tribal Grant recipients only)							
☐ Other - Describe:							
17.6. Protection of Privacy and Confidentiality							

Describe the financial and operating controls in place to protect client information against improper use or disclosure. Select all that apply.
☒ Policy in place prohibiting release of information without written consent
☒ Grant recipient LIHEAP database includes privacy/confidentiality safeguards
☒ Employee training on confidentiality for:
☒ Grant recipient employees
☒ Local agencies/district offices
☒ Employees must sign confidentiality agreement
☒ Grant recipient employees
☒ Local agencies/district offices
☒ Physical files are stored in a secure location
☒ Electronic files are protected in a secure location.
☐ Other - Describe:
17.7. Verifying the Authenticity
What policies are in place for verifying vendor authenticity? Select all that apply.
☒ All vendors must register with the State/Tribe.
☒ All vendors must supply a valid SSN or TIN/W-9 form
☒ Vendors are verified through energy bills provided by the household
☐ Grant recipient and/or local agencies/district offices perform physical monitoring of vendors
☐ Other - Describe and note any exceptions to policies above:
17.8. Benefits Policy - Gas and Electric Utilities
What policies are in place to protect against fraud when making benefit payments to gas and electric utilities on behalf of clients? Select all that apply.
☐ Applicants required to submit proof of physical residency
☐ Applicants must submit current utility bill
☒ Data exchange with utilities that verifies:
☒ Account ownership
☒ Consumption
☒ Balances
☒ Payment history
☒ Account is properly credited with benefit
☐ Other - Describe:
☒ Centralized computer system/database tracks payments to all utilities
☒ Centralized computer system automatically generates benefit level
☐ Separation of duties between intake and payment approval
☐ Payments coordinated among other energy assistance programs to avoid duplication of payments
☐ Payments to utilities and invoices from utilities are reviewed for accuracy
☒ Computer databases are periodically reviewed to verify accuracy and timeliness of payments made to utilities
☒ Direct payment to households are made in limited cases only
☒ Procedures are in place to require prompt refunds from utilities in cases of account closure
☒ Vendor agreements specify requirements selected above, and provide enforcement mechanism
☒ Other - Describe: The State of Wisconsin conducts program vendor monitoring in which a review of payments is conducted.
17.9. Benefits Policy - Bulk Fuel Vendors
What procedures are in place for averting fraud and improper payments when dealing with bulk fuel suppliers of heating oil, propane, wood,

and other bulk fuel vendors? Select all that apply.
☒ Vendors are checked against an approved vendors list
☒ Centralized computer system/database is used to track payments to all vendors
☐ Clients are relied on for reports of non-delivery or partial delivery
☐ Two-party checks are issued naming client and vendor
☒ Direct payment to households are made in limited cases only
☐ Vendors are only paid once they provide a delivery receipt signed by the client
☒ Conduct monitoring of bulk fuel vendors
☐ Bulk fuel vendors are required to submit reports to the grant recipient.
☒ Vendor agreements specify requirements selected above, and provide enforcement mechanism
☐ Other - Describe:
17.10. Investigations and Prosecutions
Describe the Grant recipients procedures for investigating and prosecuting reports of fraud, and any sanctions placed on clients, staff, or vendors found to have committed fraud. Select all that apply.
☒ Refer to state Inspector General
☒ Refer to local prosecutor or state Attorney General
☐ Refer to US DHHS Inspector General (including referral to OIG hotline)
☒ Local agencies/district offices or Grant recipient conduct investigation of fraud complaints from public
☒ Grant recipient attempts collection of improper payments. If so, describe the recoupment process Regular heat benefits canceled during the heating season, and cancelled crisis benefits where "Vendor" is selected in the HE+ System as responsible for paying back will be recouped by the HE+ System through subsequent Regular and crisis heat or PB payments. When "Client" is selected in the HE+ System as responsible for paying back benefits, or when the customer still owes benefits when a single party payment is corrected, the HE+ System recoups repayments owed when subsequent benefits are determined. When this is not feasible, local agencies shall execute a Repayment Agreement and provide it to the customer for signature.
☐ Clients found to have committed fraud are banned from LIHEAP assistance. For how long is a household banned?
☒ Contracts with local agencies require that employees found to have committed fraud are reprimanded and/or terminated
☒ Vendors found to have committed fraud may no longer participate in LIHEAP
☐ Other - Describe:
If any of the above questions require further explanation or clarification that could not be made in the fields provided, attach a document with said explanation here.